

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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In re : **Chapter 11**  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : **Case No. 08-13555 (SCC)**  
Debtors. : **(Jointly Administered)**  
: **Ref. Docket Nos. 59558,**  
: **59950, 59951, 59958, 59959,**  
: **59960, 59961, 59962, 59964,**  
: **59965, 59966, 59967, 59968,**  
: **59969, 59974, 59975, 59976**  
:   
----- X

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

FORREST HOUKU, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Corporate Restructuring, LLC<sup>1</sup>, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On October 18, 2019, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” dated October 18, 2019, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

<sup>1</sup> Epiq Bankruptcy Solutions, LLC, is now known as Epiq Corporate Restructuring, LLC.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Forrest Houku

Forrest Houku

Sworn to before me this  
21<sup>st</sup> day of October, 2019

/s/ John Chau

Notary Public, State of New York

No. 01CH6353383

Qualified in Queens County

Commission Expires January 23, 2021

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

GLOBAL STRATEGIC INVESTMENT PARTNERS MASTER LP  
F/K/A GS INVESTMENT PARTNERS MASTER FUND LP  
C/O GS INVESTMENT STRATEGIES, LLC  
ATTN: WENDY YUN  
200 WEST STREET  
NEW YORK, NY 10282

GLOBAL STRATEGIC INVESTMENT PARTNERS  
MASTER LP  
DAVID AMAN, ESQ.  
CLEARY GOTTlieb STEEN & HAMILTON LLP  
ONE LIBERTY PLAZA  
NEW YORK, NY 10006

Please note that your claim # 65650 in the above referenced case and in the amount of  
\$6,315,271.00 allowed at \$5,908,053.00 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000158979257 \*\*\*

LBH TRFNTC (MERGE2, TXNUM2) 4000191007



BANC OF AMERICA CREDIT PRODUCTS, INC.  
TRANSFEROR: GLOBAL STRATEGIC INVESTMENT PARTNERS MASTER LP  
C/O BOA MERRILL LYNCH:A.JAKIC & R.WEDDLE  
BANK OF AMER TOWER,3 FL; ONE BRYANT PARK  
NEW YORK, NY 10036

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 59966 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 10/18/2019

Vito Genna, Clerk of Court

/s/ Betina Wheelon

Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on October 18, 2019.

## **EXHIBIT B**

24/19 Enter  
**SERVICE LIST**  
Pg 6 of 11

[illegible]

**SERVICE LIST**  
Pg 7 Of 11

[illegible]

Claim Name	Address Information
BKM HOLDINGS (CAYMAN) LTD.	MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: GOLDMAN SACHS & CO. LLC, C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: GOLDMAN SACHS & CO. LLC, C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
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BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: GOLDMAN SACHS & CO. LLC, C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: SOLA LTD., ATTN: BRIAN S. BROYLES, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: SOLUS OPPORTUNITIES FUND 1 LP, ATTN: BRIAN S. BROYLES, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: THE DIAMETER MASTER FUND LP, ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: THE DIAMETER MASTER FUND LP, ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CREDIT SUISSE	ATTN: PAUL GILMORE, ELEVEN MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE	CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH AVENUE, NEW YORK, NY 10019
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: SCULPTOR HOLDINGS II S.A.R.L., C/O DEUTSCHE BANK SECURITIES INC., ATTN: RICH VICHADITH; 60 WALL ST., NEW YORK, NY 10005
GLOBAL STRATEGIC INVESTMENT PARTNERS MASTER LP	F/K/A GS INVESTMENT PARTNERS MASTER FUND LP, C/O GS INVESTMENT STRATEGIES, LLC, ATTN: WENDY YUN, 200 WEST STREET, NEW YORK, NY 10282
GLOBAL STRATEGIC INVESTMENT PARTNERS MASTER LP	DAVID AMAN, ESQ., CLEARY GOTTlieb STEEN & HAMILTON LLP, ONE LIBERTY PLAZA, NEW YORK, NY 10006
GLOBAL STRATEGIC INVESTMENT PARTNERS MASTER LP	F/K/A GS INVESTMENT PARTNERS MASTER FUND LP, C/O GS INVESTMENT STRATEGIES, LLC, ATTN: WENDY YUN, 200 WEST STREET, NEW YORK, NY 10282
GLOBAL STRATEGIC INVESTMENT PARTNERS MASTER LP	DAVID AMAN, ESQ., CLEARY GOTTlieb STEEN & HAMILTON LLP, ONE LIBERTY PLAZA, NEW YORK, NY 10006
GOLDMAN SACHS & CO. LLC	TRANSFEROR: BAUPOST GROUP SECURITIES, L.L.C., ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO. LLC	TRANSFEROR: AGGREGATING TRUST 6, LLC, ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO. LLC	TRANSFEROR: AGGREGATING TRUST 5, LLC, ATTN: THIERRY C. LE JOUAN, 30 HUDSON



Claim Name	Address Information
GOLDMAN SACHS & CO. LLC	STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO. LLC	TRANSFEROR: AGGREGATING TRUST 4, LLC, ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO. LLC	TRANSFEROR: AGGREGATING TRUST 10, LLC, ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO. LLC	TRANSFEROR: AGGREGATING TRUST 9, LLC, ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
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GOLDMAN SACHS & CO. LLC	TRANSFEROR: LAVINE HOLDINGS, L.L.C., ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO. LLC	TRANSFEROR: LAVINE HOLDINGS, L.L.C., ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
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GOLDMAN SACHS & CO. LLC	TRANSFEROR: BAUPOST GROUP SECURITIES, L.L.C., ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
JULIUS BAER & CIE SA	TRANSFEROR: BANQUE PICTET & CIE SA, ATTN: PATRIK ROOS ; BANK JULIUS BAER & CO. LTD., BAHNOFSTRASSE 36, CH-8010 ZURICH SWITZERLAND
LEHMAN BROTHERS EUROPE LIMITED	ATTN: DAN YORAM SCHWARZMANN, AS ADMINISTRATOR, DEREK HOWELL, 25 CANADA SQUARE, LONDON E14 5LQ UNITED KINGDOM
LEHMAN BROTHERS EUROPE LIMITED	LEHMAN BROTHERS EUROPE LIMITED, C/O PRICEWATERHOUSECOOPERS LLP, ATTN: DARRYL LOCKHART, 7 MORE LONDON, RIVERSIDE, LONDON SE1 2RT UNITED KINGDOM
LEHMAN BROTHERS EUROPE LIMITED	ATTN: DAN YORAM SCHWARZMANN, AS ADMINISTRATOR, DEREK HOWELL, 25 CANADA SQUARE, LONDON E14 5LQ UNITED KINGDOM
LEHMAN BROTHERS EUROPE LIMITED	LEHMAN BROTHERS EUROPE LIMITED, C/O PRICEWATERHOUSECOOPERS LLP, ATTN: DARRYL LOCKHART, 7 MORE LONDON, RIVERSIDE, LONDON SE1 2RT UNITED KINGDOM
LEHMAN BROTHERS EUROPE LIMITED	DAVIS POLK & WARDWELL LLP, ATTN: MARSHALL S. HUEBNER AND BRIAN M. RESNICK, 450 LEXINGTON AVENUE, NEW YORK, NY 10017
LEHMAN BROTHERS EUROPE LIMITED	LINKLATERS LLP, ATTN: RICHARD HODGSON AND PAUL HESSLER, ONE SILK STREET, LONDON EC2Y 8HQ UNITED KINGDOM
LEHMAN BROTHERS EUROPE LIMITED	DAVIS POLK & WARDWELL LLP, ATTN: MARSHALL S. HUEBNER AND BRIAN M. RESNICK, 450 LEXINGTON AVENUE, NEW YORK, NY 10017
LEHMAN BROTHERS EUROPE LIMITED	LINKLATERS LLP, ATTN: RICHARD HODGSON AND PAUL HESSLER, ONE SILK STREET, LONDON EC2Y 8HQ UNITED KINGDOM
LEHMAN BROTHERS HOLDINGS PLC	TRANSFEROR: LEHMAN BROTHERS EUROPE LIMITED, ATTN: GILLIAN BRUCE, ANDREW MARAN AND JEREMY R. LEWIN, LEVEL 23, 25 CANADA SQUARE, LONDON E14 5LQ UNITED KINGDOM
LEHMAN BROTHERS HOLDINGS PLC (IN ADMINISTRATION)	TRANSFEROR: LEHMAN BROTHERS EUROPE LIMITED, ATTN: GILLIAN BRUCE, ANDREW MARAN AND JEREMY R. LEWIN, LEVEL 23, 25 CANADA SQUARE, LONDON E14 5LQ UNITED KINGDOM
SCULPTOR HOLDINGS II S.A.R.L.	C/O 9 W 57TH STREET, 13TH FLOOR, ATTN: JULIE SIEGEL, NEW YORK, NY 10019
SPCP GROUP, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: OPERATIONS, 2 GREENWICH PLAZA, 1ST FLOOR, GREENWICH, CT 06830
SPCP GROUP, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: OPERATIONS, 2 GREENWICH PLAZA, 1ST FLOOR, GREENWICH, CT 06830
SPCP GROUP, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: OPERATIONS, 2 GREENWICH PLAZA, 1ST FLOOR, GREENWICH, CT 06830
SPCP GROUP, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: OPERATIONS, 2 GREENWICH PLAZA, 1ST FLOOR, GREENWICH, CT 06830
UBS AG	TRANSFEROR: CREDIT SUISSE, BAHNHOFSTRASSE 45, CH-8001 ZURICH SWITZERLAND

<b>Total Creditor Count 157</b>
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